

Applicant or Patentee (Inventors): Jen-Hui TSAI  
(發明人名字)  
Serial No. or Patent No. (if known):  
(申請號或專利號)  
Filed or Issued (if known):  
(申請日或公告日)  
For (invention title): SPEAKER SYSTEM  
(發明名稱)

SMALL ENTITY FORM - INDEPENDENT INVENTOR  
(VERIFIED STATEMENT/DECLARATION CLAIMING SMALL ENTITY STATUS, 37 CFR 1.9(f) and 1.27(b))  
小 個 體 聲 明 書 (當申請人是個人, 且他是發明人時, 用此表格)

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention described in

(若是新申請案則在右面第一個方格內打 "X")  
☐ the specification filed herewith (申請文件隨同附上)  
☐ the application or patent identified above. (上述的申請案或專利)

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

(check one) ☐ no such person, concern, or organization. (若無他人分享本發明的權利, 則在左邊第一個方格內打 "X")  
☐ persons, concerns or organizations listed below. (NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities, 37 CFR 1.27) (若有他人分享本發明的權利, 則在左邊第二個方格內打 "X", 并在下面列出他人的姓名和地址, 并需另附小企業聲明書)

FULL NAME: (全名)

ADDRESS: (地址)

(注明一項) ☐ INDIVIDUAL (個人) ☐ SMALL BUSINESS CONCERN (小企業) ☐ NONPROFIT ORGANIZATION (非贏利機構)

FULL NAME: (全名)

ADDRESS: (地址)

(注明一項) ☐ INDIVIDUAL (個人) ☐ SMALL BUSINESS CONCERN (小企業) ☐ NONPROFIT ORGANIZATION (非贏利機構)

FULL NAME: (全名)

ADDRESS: (地址)

(注明一項) ☐ INDIVIDUAL (個人) ☐ SMALL BUSINESS CONCERN (小企業) ☐ NONPROFIT ORGANIZATION (非贏利機構)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate; 37 CFR 1.28(b).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Jen-Hui TSAI	<u>TSAI Jen-Hui</u>	February 6, 2001
NAME OF INVENTOR #1 (第一發明人姓名)	Signature of Inventor #1 (第一發明人簽名)	Date (日期)
NAME OF INVENTOR #2 (第二發明人姓名)	Signature of Inventor #2 (第二發明人簽名)	Date (日期)
NAME OF INVENTOR #3 (第三發明人姓名)	Signature of Inventor #3 (第三發明人簽名)	Date (日期)

DECLARATION OF INVENTORSHIP AND POWER OF ATTORNEY

FOR PATENT APPLICATION

Docket No. \_\_\_\_\_

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled SPEAKER SYSTEM the specification of which \_\_\_\_\_

(check one) ☒ is attached hereto.

☐ was filed on \_\_\_\_\_  
under Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information which is material to Patentability as defined in 37 CFR § 1.56.

☐ I hereby claim foreign priority benefits under 35 USC § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

APPLICATION NUMBER

COUNTRY

FILING DATE  
(Day/Month/Year)

I hereby claim the benefit under 35 USC § 120 of any United States application (s) listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC § 112, I acknowledge the duty to disclose to the Office information which is material to patentability as defined in CFR § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application

APPLICATION NUMBER

FILING DATE  
(Day/Month/Year)

STATUS  
(Patented, Pending, Abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Address all telephone calls

Tel: 1-617-523-3400

Address all correspondence to:

Mr. Peter F. Corless  
EDWARDS & ANGELL, LLP  
130 Water Street, Boston,  
Massachusetts 02109-4280, U.S.A

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of sole or first inventor Jen-Hui TSAI

Inventor's signature TSAI JEN-HUI Date February 6, 2001

Residence Taipei Citizenship Taiwan, R.O.C.

Post Office Address 3F., No. 3, Lane 67, Ching Shing Road, Wen Shen Suburb,  
Taipei, Taiwan, R.O.C.

Full name of second joint inventor, if any \_\_\_\_\_

Second Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of third joint inventor, if any \_\_\_\_\_

Third Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of fourth joint inventor, if any \_\_\_\_\_

Fourth Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of fifth joint inventor, if any \_\_\_\_\_

Fifth Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of sixth joint inventor, if any \_\_\_\_\_

Sixth Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_